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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ronald Szoc, et al.

Appl. No. 09/825,366

Filed: April 4, 2001

For: **System, Method and Apparatus  
for International Financial  
Transactions**

Confirmation No.: 1399

Art Unit: To be Assigned

Examiner: To be Assigned

Atty. Docket: 06133.0003.NPUS01

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION  
Filing Date Granted**

Assistant Commissioner for Patents

Washington, D.C. 20231

**ATTN: Box Missing Parts**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted dated May 23, 2001, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

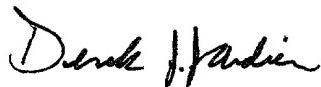
- Copy of the Notice to File Missing Parts;
- Original Declaration and Power of Attorney executed by the inventors;
- Eleven (11) sheets of Substitute Drawings, Figures 1-3, 4a-4b, 5a-5c, 6-8;
- Our Check No. 357672 for \$1,206.00; and
- Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Ronald Z. Szoc, et al.  
Appl. No. 09/825,366

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing the above docket number. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing the above docket number.

Respectfully submitted,



Derek J. Jardieu (Reg. No. 44,483)

Date: July 23, 2001

HOWREY SIMON ARNOLD & WHITE, LLP  
Box No. 34  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2402  
(202) 783-0800



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,366	04/04/2001	Ronald Z. Szoc	06133.0003.NPUS01

## CONFIRMATION NO. 1399

22930  
HOWREY SIMON ARNOLD & WHITE LLP  
BOX 34  
1299 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004RECEIVED  
DOCKET DEPT.  
HOWREY SIMON ARNOLD & WHITE

## FORMALITIES LETTER



D000000006108551\*

MAY 25 2001

WASHINGTON, D.C.

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$366.
  - \$126 for 7 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1206.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

DOCKETED  
Sum 5/25/01  
Due 7/23/01  
Final 12/03/01

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE